

Glacier Velocity Monitoring by Maximum Likelihood Texture Tracking

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Abstract—The performance of a tracking algorithm considering remotely sensed data strongly depends on a correct statistical description of the data, i.e., its noise model. The objective of this paper is to introduce a new intensity tracking algorithm for synthetic aperture radar (SAR) data, considering its multiplicative speckle/noise model. The proposed tracking algorithm is discussed regarding the measurement of glacier velocities. Glacier monitoring exhibits complex spatial and temporal dynamics including snowfall, melting, and ice flows at a variety of spatial and temporal scales. Due to these complex characteristics, most traditional methods based on SAR suffer from speckle decorrelation that results in a low signal-to-noise ratio. The proposed tracking technique improves the accuracy of the classical intensity tracking technique by making use of the temporal speckle structure. Even though a new intensity-based matching algorithm is proposed, particularly for incoherent data sets, the analysis of the proposed technique was also performed for correlated data sets. As it is demonstrated, the velocity monitoring can be continuously performed by using the maximum likelihood (ML) texture tracking without any assumption concerning the correlation of the data set. The ML texture tracking approach was tested on ENVISAT-ASAR data acquired during summer 2004 over the Inyltshik glacier in Kyrgyzstan, representing one of the largest alpine glacier systems of the world. It will be demonstrated that the proposed technique is capable of robustly and precisely detecting the surface velocity field and velocity changes in time.

Index Terms—Estimation theory, glacier-motion estimation, maximum likelihood (ML) estimation, offset tracking, speckle, synthetic aperture radar (SAR).

I. INTRODUCTION

IN RECENT years, a special interest in long-term operational glacier monitoring has emerged, mainly due to the relation of glacier recession to climate changes. Although *in situ* observations with the aim of glacier velocity measurement can be very accurate, in practice, it is not feasible to visit every

glacier area regularly. Remotely sensed data provide a simple possibility for automatic monitoring of entire glacier systems. Optical data provided by photogrammetry or through satellite image systems have limitations in glacier monitoring. Most glacierized areas are characterized by a strong weather phenomenon, like thunderstorms, regular rain, and snowfall, that causes a change in illumination. In addition, finding cloudless optical data of such regions on a regular basis, which is necessary to model glacier flow patterns, is not an easy task in practice. In contrast, the synthetic aperture radar (SAR) data have no limitations regarding weather phenomena or illumination.

Up to now, three main approaches have been used for the estimation of flow velocities: optical flow, commonly applied using optical data, interferometric techniques using SAR data, and image matching for all types of remotely sensed data [1]–[4]. However, all methods possess severe disadvantages. Optical flow methods are based on the assumption that the brightness of a pattern is invariant over time, and the image velocity is computed from spatio-temporal intensity gradients using normalization [5]. However, this technique can only be applied on high-quality optical images, which are not easy to acquire. In the case of SAR, this technique is very sensitive to noise because of the use of numerical differentiation.

Although the potential of differential SAR interferometry (DInSAR) for glacier velocity estimation has been shown in several case studies [6]–[8], its successful application is often limited by phase noise. In addition, the glacier flow is often too fast to be analyzed by means of DInSAR because the displacement rate becomes too large during a typical satellite data reacquisition (e.g., 26 days for Radarsat-1, 35 days for ASAR Envisat, and 46 days for ALOS Palsar). Another limitation regarding differential interferometry is that DInSAR only measures the glacier velocity in the line-of-sight (LOS) direction, and hence, it is not possible to retrieve the full 3-D displacement vector. The assumption that the glacier flow is parallel to the surface is generally accepted with the aim of the retrieval of the full displacement vector [8], which needs the digital elevation model (DEM) of the scene to confine the LOS vector along the gradient of the elevation model. In addition, the use of a combination of an ascending and a descending interferogram overcomes this 3-D problem, but with the cost of an additional data set [7]. The interferometric spectral diversity technique (split-band interferometry) overcomes this problem by supplying the velocity information also in the azimuth direction (perpendicular to the LOS) and was successfully applied with the aim of 3-D motion vector extraction [8], [9]. The method estimates absolute phase—absolute range—by calculating the

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phase differences of the two interferograms generated from bandpass-filtered images [10], [11]. Although the spectral diversity technique is well suited for deformation monitoring, this technique also requires a coherent SAR data set, as in the case of DInSAR applications, and is less accurate than DInSAR, since the accuracy of DInSAR is related to the wavelength, not the bandwidth, as in spectral diversity. In contrast, SAR intensity images are not limited by phase stability problems and can be reliably acquired on a regular basis. This makes intensity-based algorithms appealing for operational monitoring.

Intensity-based motion estimation approaches define the displacement as the shift that yields the best fit between different images in time. However, the most common approach, known as cross-correlation, is not the maximum likelihood (ML) approach for SAR intensity images, as it is the ML solution for optical data with an additive noise model [12] and for complex SAR data with circular Gaussian statistics [13]. Therefore, traditional methods are not optimal for automatic glacier monitoring because of speckle decorrelation. In order to increase the accuracy of the intensity-based matching algorithm, the texture tracking algorithm is redefined in this paper by ML parameter estimation.

This paper is organized as follows. The ML motion estimation function, considering that SAR intensity has multiplicative speckle statistics, is defined for correlated and uncorrelated speckle in Section II. Sections III and IV present the experimental results, including the comparison of accuracy between the proposed and the traditional approaches on simulated and real data. Section V concludes this paper with discussions and directions for future work.

II. PROBLEM FORMULATION AND ARCHITECTURE OF THE PROPOSED TECHNIQUES

The classical intensity matching algorithm finds the local shift between images using a normalized cross-correlation (NCC) algorithm. The best match is found by maximizing a similarity measurement through candidate blocks [2]–[4], [14]. Then, confidence is interpreted by comparing the value of the correlation peak related to that of the mean and the minimum correlation. In this section, this matching algorithm is improved based on the multiplicative noise model of the SAR intensity with the assumption of fully developed speckle.

According to the definition of the ML motion estimation as indicated in [12] and [15], the matching algorithm performance is directly obtained through maximizing the conditional density function (CDF) of two matched blocks.

Supposing that a block $y_i = [y_{i1}, \dots, y_{ik}]^T$ matches a block $x_i = [x_{i1}, \dots, x_{ik}]^T$, this matching results in a displacement (shift) vector v_i , where i indicates the block which is shifted i pixels and k is the number of pixels in blocks y_i and x_i . Here, blocks are obtained from two intensity SAR images Y and X of size $M \times N$ acquired over the same area at different times t_1 and t_2 . Then, the ML estimate \vec{v}_{ML} of \vec{v}_i is obtained by maximizing, for each block i , the following CDF function:

$$\max_{\vec{v}} p(x_i|y_i, \vec{v}), \quad \vec{v} = \vec{v}_{ML}. \quad (1)$$

Note that the vectors (blocks) x_i and y_i consist of uncorrelated pixels¹ having uniform motion.

ML motion estimation, as defined in (1), will be discussed in the following with emphasis on uncorrelated speckle, but the performance for correlated speckle is also assessed. However, it should be remembered that, for correlated speckle patterns, the coherent cross-correlation technique is a very accurate technique providing the ML estimate for high coherence [13] (the reader is invited to look at [11] and [13] for more details about coherent cross-correlation techniques).

A. Multiplicative Speckle Model With Uncorrelated Speckle Within and Between Images

SAR intensity (I) images are described by a multiplicative speckle noise with the following probability density function (pdf) [16]:

$$I = \sigma\eta, \quad p_\eta(\eta) = \frac{N^N \eta^{N-1}}{\Gamma(N)} \exp(-N\eta) \quad (2)$$

where σ , N , and η indicate the radar cross section (RCS), i.e., reflectivity of object, number of looks, and speckle, respectively. Under the assumption of a multiplicative speckle model, the RCS and speckle value of the j th pixel in block i , at time t_1 , are σ'_{ij} and η'_{ij} , respectively, and that of at time t_2 are σ''_{ij} and η''_{ij} . Then, the models for the observed pixels at time t_1 and t_2 are

$$y_{ij} = \sigma'_{ij}\eta'_{ij} \quad (3)$$

$$x_{ij} = \sigma''_{ij}\eta''_{ij}. \quad (4)$$

To maximize the CDF as defined in (1), the relationship between images is redefined as

$$x_{ij} \equiv \alpha_{ij} y_{ij} \gamma_{ij} \leftarrow \begin{cases} \alpha_{ij} = \frac{\eta''_{ij}}{\eta'_{ij}} \\ \gamma_{ij} = \frac{\sigma''_{ij}}{\sigma'_{ij}} \end{cases} \quad (5)$$

This new multiplicative image-speckle model, which is extracted from the ratio of two speckle variables, has the advantage of being unaffected by multiplicative calibration errors and geometry-based imaging effects on the backscattering coefficients. Regarding its advantages, it is very common to work with such ratio images in the application area of change and edge detection (see, e.g., [16] and [17]). With uncorrelated images, the new speckle (α_{ij}) has a new distribution calculated from the ratio of two independent variables (independent speckle model of images at t_1 and t_2). If η' and η'' are equally distributed independent variables, as it is the case for decorrelated images, the pdf of $\alpha = \eta''/\eta'$ is given by [15, eq. 6.56]

$$p_\alpha(\alpha) = \int_0^\infty p_\eta(\alpha\eta') p_\eta(\eta') \eta' d\eta', \quad \eta', \eta'' \geq 0. \quad (6)$$

¹In practice, neighboring pixels may have a small amount of correlation based on oversampling (related to widths of the point spread function) of the SAR data. However, in our case, this can be ignored [16, ch. 8].

By substituting (2) in (6), it follows that

$$p_\alpha(\alpha) = \frac{N^{2N} \alpha^{N-1}}{\Gamma(N)\Gamma(N)} \int_0^\infty \eta^{2N-1} \exp(-\eta'(N\alpha + 1)) d\eta' \\ = \frac{\Gamma(2N)}{\Gamma(N)\Gamma(N)} \frac{\alpha^{N-1}}{(1+\alpha)^{2N}} \quad (7)$$

where $p_\alpha(\alpha)$ indicates the new speckle distribution [Fig. 1(a)]. If the random variables x_i and y_i are statistically independent, then the conditional probability of x_i given y_i is $p(x_i|y_i) = p(x_i)$. Considering (5), $p(x_i)$ can be derived using the change of variable relation

$$x_{ij} = \alpha_{ij} y_{ij} \gamma_{ij} \rightarrow \left\{ p(x_{ij}) = \frac{p_\alpha(\alpha_{ij})}{y_{ij} \gamma_{ij}} \right\}. \quad (8)$$

This yields that the maximization of (1) is equivalent to

$$\max_{\vec{v}_i} \prod_{j=1}^k \frac{1}{y_{ij} \gamma_{ij}} p_\alpha(\alpha_{ij}) \rightarrow \vec{v}_i = \vec{v}_{iML} \\ p(x_i|y_i, \vec{v}_i) = \prod_{j=1}^k \frac{\left(\frac{x_{ij}}{y_{ij} \gamma_{ij}}\right)^{N-1}}{y_{ij} \gamma_{ij} \left(1 + \frac{x_{ij}}{y_{ij} \gamma_{ij}}\right)^{2N}} \frac{\Gamma(2N)}{\Gamma(N)\Gamma(N)} \\ = \prod_{j=1}^k \frac{\left(\frac{x_{ij}}{y_{ij}}\right)^{N-1}}{y_{ij} \left(1 + \frac{x_{ij}}{y_{ij}}\right)^{2N}} \frac{\Gamma(2N)}{\Gamma(N)^2}, \quad \gamma = 1. \quad (9)$$

From (9), it can be seen that in order to calculate the ML motion estimate, $\gamma = \sigma''/\sigma'$ should be known. However, in practice, this information is not available. Setting $\gamma = 1$ (no change in RCS), which means that two blocks have the same mean and variance, is an option to resolve the missing γ information. This condition can be supplied by intensity normalization, which is also common in optical flow and texture analysis techniques. Then, maximizing the CDF is equivalent to maximizing the following objective function:

$$ml(\vec{v}_i) = \sum_{j=1}^k \ln y_{ij} + \ln x_{ij} - 2 \ln(x_{ij} + y_{ij}) - \frac{\ln x_{ij}}{N}. \quad (10)$$

To enhance low-intensity pixels, the ratio images are usually expressed in a logarithmic scale shown by \underline{X} and \underline{Y} ($\log X = \underline{X}$). Then, $ml(\vec{v}_i)$ is redefined for logarithmic images as (see Appendix I)

$$ml(\vec{v}_i) = \sum_{j=1}^k \underline{x}_{ij} - \underline{y}_{ij} - 2 \ln \left(1 + \exp(\underline{x}_{ij} - \underline{y}_{ij}) \right). \quad (11)$$

In either the maximization of (10) or (11), it is understood that x_{ij} 's are the intensity pixels of block x_i obtained from X , and y_{ij} 's are the corresponding pixels of block y_i obtained from Y , if the velocity \vec{v}_i is assumed. The objective functions are calculated related to the shifts of a moving block from the first image with respect to the second image. The shift vector having the most likelihood value yields the image offset.

B. Multiplicative Speckle Model With Uncorrelated Speckle Within Images and Correlated Speckle Between Images

If the speckle is correlated between two images, a bivariate gamma distribution, which takes into account the correlation between variables, may be employed. On the basis of the bivariate gamma distribution introduced in [18], the joint distribution of correlated speckle η' and η'' characterized by common shape parameter² $1/N > 0$ is

$$p_{\eta''\eta'}(\eta'', \eta') = \frac{N^{N+1} (\eta'\eta'')^{\frac{N-1}{2}}}{\Gamma(N)(1-\rho)\rho^{\frac{N-1}{2}}} \\ \times \exp\left(-\frac{N(\eta' + \eta'')}{1-\rho}\right) I_{N-1}\left(\frac{2\sqrt{\rho}}{1-\rho} N \sqrt{\eta'\eta''}\right) \quad (12)$$

where I_{N-1} is the modified Bessel function of the first kind and $0 \leq \rho \leq 1$ is the correlation coefficient [Fig. 1(b)]. It is obvious that, when $\rho \rightarrow 0$, the joint pdf (12) reduces to the product of two univariate gamma distributions. Here, it is worth noting that the aim is to find an ML motion function in the case of correlated speckle without the need of estimating ρ . The way to estimate ρ in its ML sense is to derive the ML estimator from (12) [19].

The pdf of the ratio of two correlated speckle distributions is recalculated (see Appendix II); then, the expansion of (7) for correlated speckle is given by

$$p_\alpha(\alpha) = \frac{\Gamma(2N)}{\Gamma(N)\Gamma(N)} (1-\rho)^N \frac{\alpha^{N-1}}{(1+\alpha)^{2N}} \\ \times {}_1F_0\left(N + \frac{1}{2}; -; \frac{4\rho\alpha}{(\alpha+1)^2}\right). \quad (13)$$

Fig. 1(c) shows the new speckle distribution calculated from the ratio of two correlated speckle variables with correlation parameter $\rho = 0.8$. The ML motion estimation, as defined in (9) for uncorrelated speckle, is extended for correlated speckle by replacing ${}_1F_0(a; -; x)$ with $(1-x)^{-a}$

$$p(x_i|y_i, \vec{v}_i) = \prod_{i=1}^k \frac{\alpha_{ij}^{N-1}}{(1+\alpha_{ij})^{2N}} \frac{(1-\rho_{ij})^N}{y_{ij}} \\ \times {}_1F_0\left(N + \frac{1}{2}; -; \frac{4\rho_{ij}\alpha_{ij}}{(\alpha_{ij}+1)^2}\right) \\ = \prod_{i=1}^k \frac{\frac{x_{ij}}{y_{ij}}^{N-1}}{\left(1 + \frac{x_{ij}}{y_{ij}}\right)^{2N}} \frac{(1-\rho_{ij})^N}{y_{ij}} \\ \times \left(1 - \frac{4\rho_{ij}x_{ij}}{y_{ij} \left(1 + \frac{x_{ij}}{y_{ij}}\right)^2}\right)^{-(N+\frac{1}{2})}. \quad (14)$$

After removing the constant terms, the log-likelihood objective function for correlated and logarithmically scaled correlated images can be seen in (15) and (16).

²Generally, the same region in two different images has the same equivalent number of looks if the imaging geometry has not changed. The assumption of common shape parameter $1/N$ is hence satisfied.

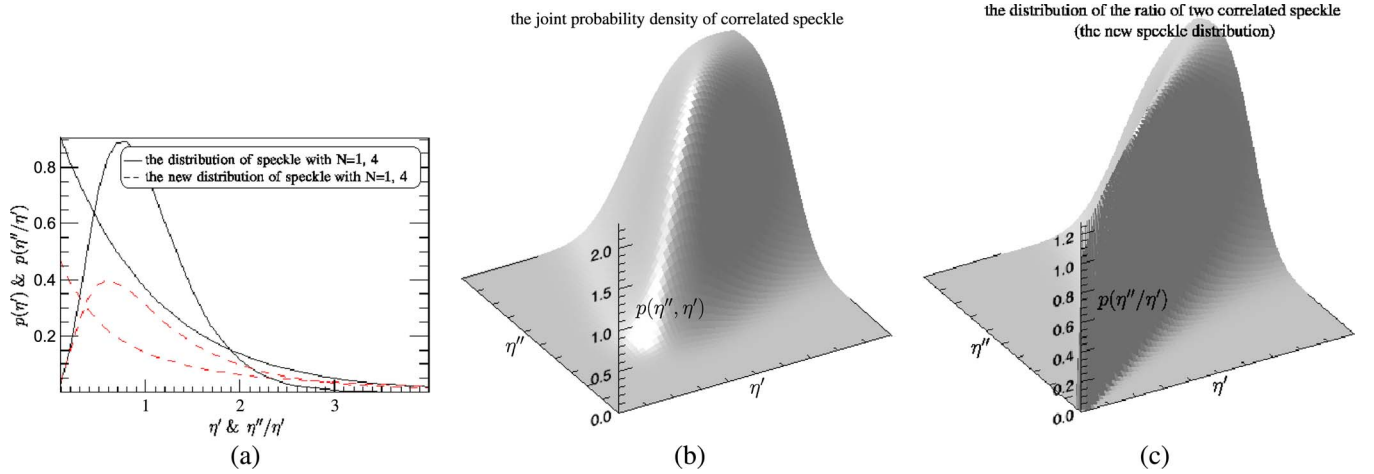


Fig. 1. (a) Typical speckle distribution and the distribution of the ratio of two uncorrelated speckle variables η' and η'' . (b) Joint pdf of correlated speckle with $N = 4$ and $\rho = 0.8$. (c) Distribution of the ratio of two correlated speckle variables η' and η'' in presence of correlation $\rho = 0.8$, with $N = 4$.

The ML motion vector can be estimated directly from (10), (11), (15), or (16). In whichever maximization (10), (11), (15), or (16), the likelihood value $ml(\vec{v}_i)$ indicates the quality of the matching between block x_i and block y_i , where blocks are constructed related to the shift vector \vec{v}_i . Then, the motion vector having the most likely value is chosen as a displacement vector.

In estimation theory, the relative entropy is used to measure the difference between two pdfs. In [19], this divergence test is applied between the joint bigamma distribution and the product of two marginal gamma distributions in order to find a threshold for ρ and to make an assumption about the independence of the data. It can be seen from [19] that data with correlation below $\rho = 0.5$ may be considered as uncorrelated, and therefore, (10) and (11) should be used instead of

$$ml(\vec{v}_i) = \sum_{j=1}^k \ln y_{ij} + \left(1 - \frac{1}{N}\right) \ln x_{ij} - 2 \ln(x_{ij} + y_{ij}) - \left(1 + \frac{1}{2N}\right) \ln \left(1 - \frac{4\rho_{ij}x_{ij}y_{ij}}{(x_{ij} + y_{ij})^2}\right) \quad (15)$$

$$ml(\vec{v}_i) = \sum_{j=1}^k \frac{x_{ij} - y_{ij}}{x_{ij} + y_{ij}} - 2 \ln \left(1 + \exp \left(\frac{x_{ij} - y_{ij}}{x_{ij} + y_{ij}}\right)\right) - \left(1 + \frac{1}{2N}\right) \ln \left(1 - \frac{4\rho_{ij} \exp \left(\frac{x_{ij} - y_{ij}}{x_{ij} + y_{ij}}\right)}{\left(1 + \exp \left(\frac{x_{ij} - y_{ij}}{x_{ij} + y_{ij}}\right)\right)^2}\right). \quad (16)$$

III. RESULTS WITH SIMULATED DATA

Monte Carlo simulations have been performed to examine the reliability and variability of the proposed and of the classical shift estimator by calculating the percentage of mismatch. For the sake of simplicity, 1-D normally distributed signals with zero mean and a standard deviation of one have been constructed 1000 times. First, each signal has been modified twice by independent zero-mean Gaussian additive noises (to simulate optical images characterized by additive noise structure). Then, the NCC and the proposed ML techniques have been applied to every modified signal pairs with the aim of finding an optimum shift vector. For modified signal pairs, the percentages

of correct motion estimation obtained by NCC and ML are 83% and 54%, respectively. Second, each noise-free signal has been modified twice by independent multiplicative speckle patterns characterized by gamma distribution. For these multiplicative speckle structured signal pairs, the percentages of correct motion estimation obtained by NCC and ML are 68% and 94%, respectively. Not only the percentage of correct estimations is higher but also the distribution of the ML estimator is almost the same for all simulated signals with a sharp peak at $\vec{v}_i = 0$. According to the simulated examples, an interesting result is that NCC supplies a very good estimation (1% mismatch) if only one signal is characterized by additive noise, and the ML function will outperform the NCC function over almost all signals if signals are described by a multiplicative speckle model. The ML estimation with two different objective functions (10)–(15) was also implemented in order to evaluate their performances. Even though small variations in ML values are observed, the location of the ML value through shift vectors is the same for both functions. Fig. 2(a) shows one of the 1-D signals with its additive and multiplicative noise components, and Fig. 2(b) shows the corresponding NCC and ML estimation of the displacement vector through the candidate displacement positions.

IV. RESULTS WITH EXPERIMENTAL DATA

The ML texture tracking approach was tested on ENVISAT-ASAR images acquired on the 19th of August, 23rd of September, and 28th of October 2004 over the Inyltshik glacier in Kyrgyzstan, representing one of the largest alpine glacier systems of the world. Table I summarizes the acquisitions including their system parameters. It is worth mentioning that the precise geometric coregistration of SAR data sets is a strict requirement for matching algorithms. In order to perform a precise coregistration, backward geocoding was employed. In the backward geocoding approach, for each DEM element, the image pixel with the nearest range-Doppler coordinate is calculated [20]. The objective function of (11) was used for the experimental results related to our decorrelated image sequence. However, for operational monitoring applications, the objective function of (16), which also considers correlated

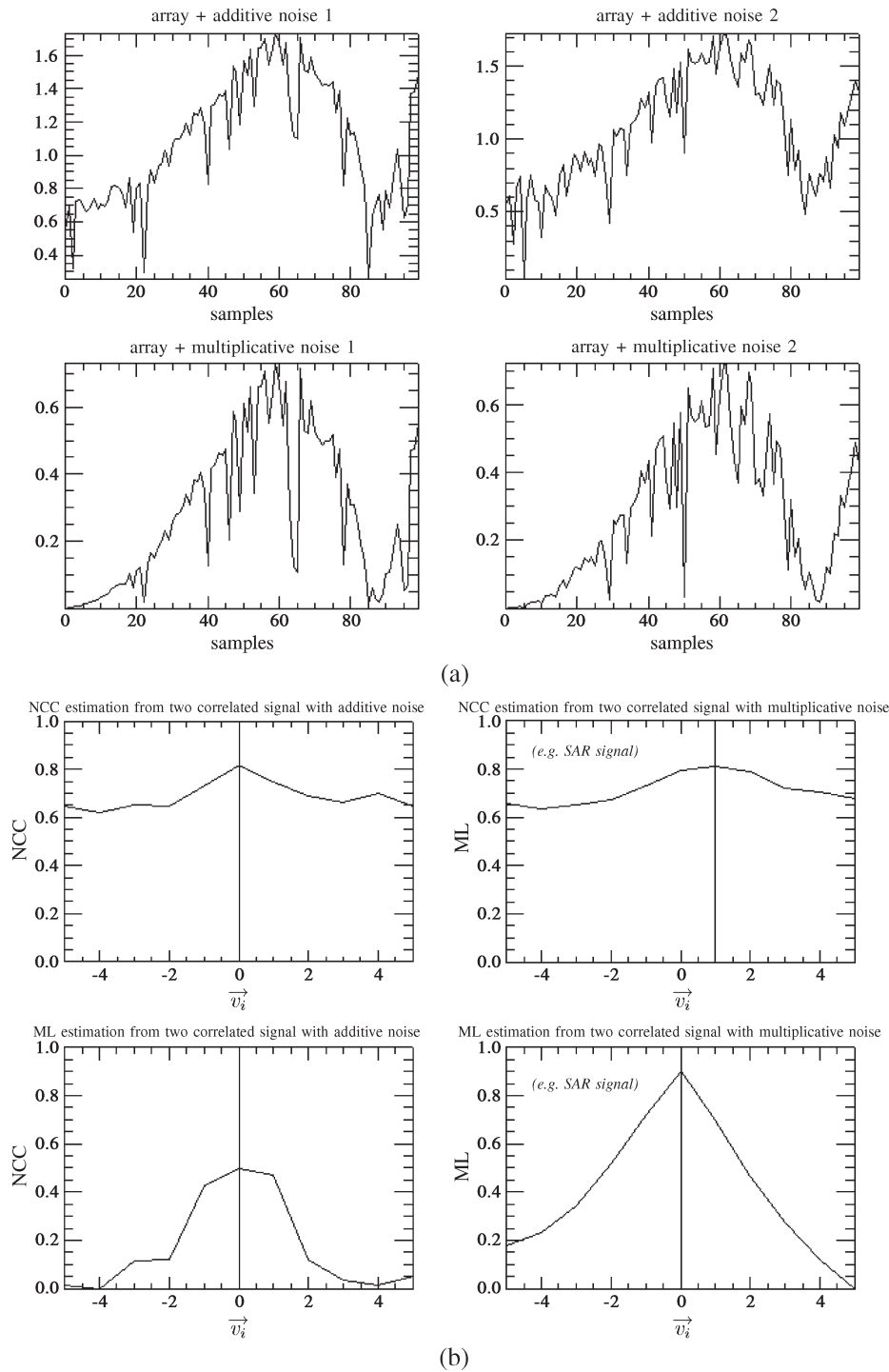


Fig. 2. (a) 1-D time-domain signals with additive and multiplicative noise complements. (b) Displacement estimations using the NCC and the ML techniques.

TABLE I
ENVISAT ACQUISITIONS OVER INYLTSHIK GLACIER

Temporal pair	Baseline	Temporal resolution	System Parameters (Descending)
1 Aug.-Sep.	360.40m	35 days	Range pixel spacing 4.0m
2 Aug.-Oct.	783.54m	70 days	Azimuth pixel spacing 7.8m
3 Sep.-Oct.	1124.10m	35 days	Offnadir angle 22°.65

image sequences, can be used. On the same area, the well-known texture tracking technique known as NCC was also employed to better understand the behavior and the gain of the ML

texture tracking technique. Considering the loss of coherence over the glacier [Fig. 3(a)], it is obvious that the proposed technique is compared to the incoherent NCC technique and not with the coherent one. Fig. 3(b) shows a typical DInSAR result for a fast-moving glacier (no reliable phase information throughout the glacier).

For both the ML and the NCC motion estimation algorithms, the similarity measurement is built by shifting a small sample window from one image in a search window from another image and by calculating the similarity for each window. To

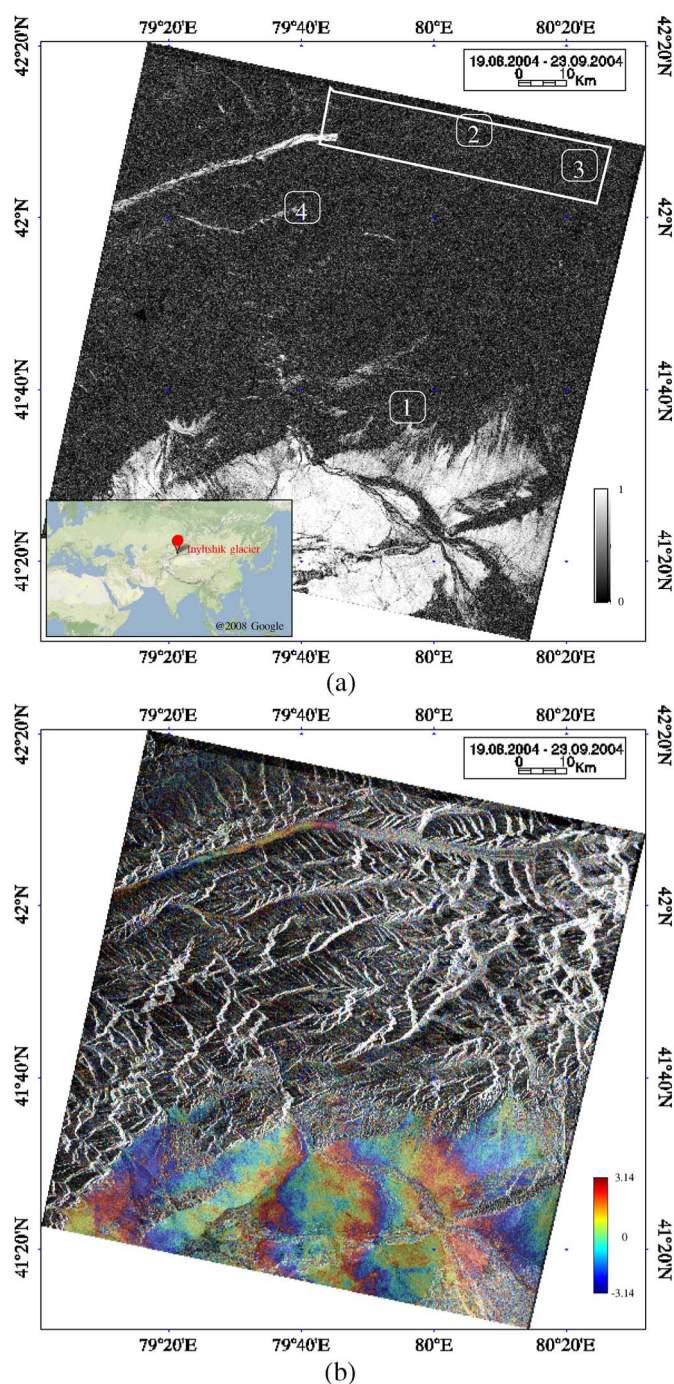


Fig. 3. (a) DInSAR coherence image. The numbered areas plotted over the coherence image are test areas shown in Fig. 4, and the white rectangle indicates the location of Inyltshik glacier. (b) LOS surface displacement map of the test area, derived from ENVISAT SAR data using DInSAR technique. The intensity represents the radar signal, and a color cycle corresponds to DInSAR phase. Interferometric results were obtained from the I.D.I.O.T. (InSAR Deformation Inspection and Observation Tool) software, developed by the Computer Vision and Remote Sensing Group of the Berlin University of Technology (see, e.g., [20] and [21]).

increase computational efficiency, the shifting procedure was performed in the frequency domain by fast Fourier transform. As indicated by de Lange *et al.* [14], the block size having a ratio of 1/4 (i.e., approximately square area on the ground) between range and azimuth direction gives the best performances

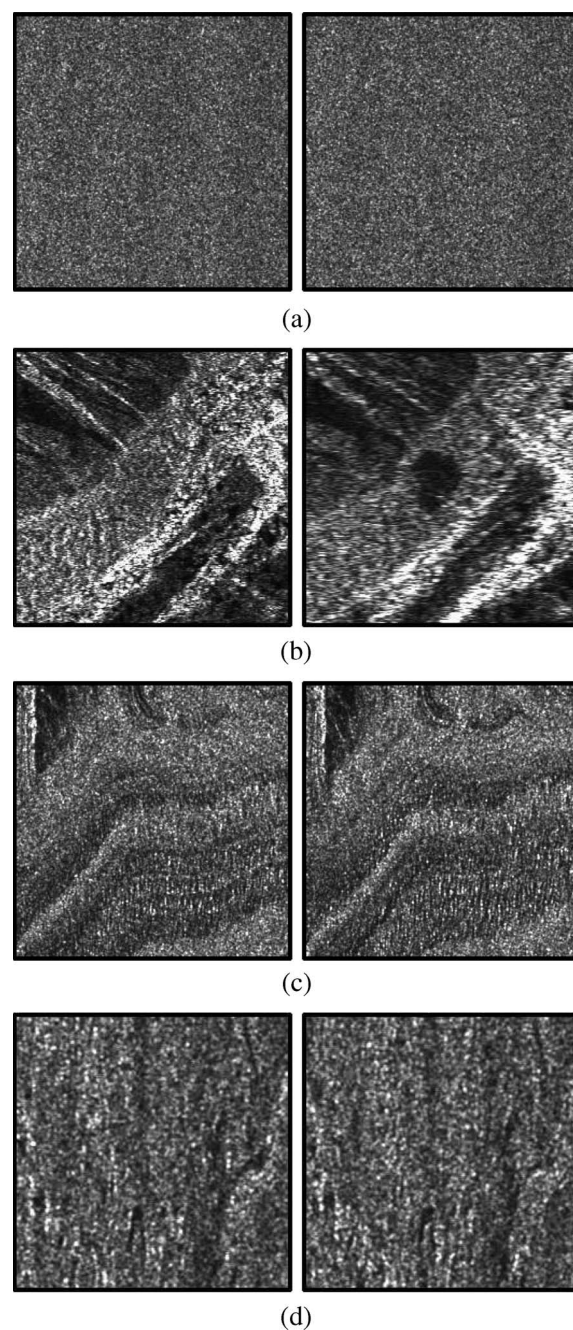


Fig. 4. (a) Intensity images of no-motion area. (b) Intensity images of lake ice. Merzbacher Lake can easily be recognized in September image based on its low returns; however, the lake is almost invisible in August image based on its high returns. The different characteristic of radar responses is related to ice thickness during the acquisition time. (c) Typical glacier reflectance on SAR images. (d) Intensity images of the small part of the glacier that has a coherent characteristic. In all chosen area, the first subimage is extracted from ENVISAT image acquired at 19th of August, and the second is from ENVISAT image acquired at 23rd of September.

for matching algorithms. Here, the influence of the sample block size on texture tracking was tested with blocks having a size of 16×64 , 32×128 , and 64×256 pixels.

Experimental results on real data are divided into two parts. The first part includes the performance analysis of NCC and ML motion estimators in specific areas. The second part shows the performance analysis and the velocity maps obtained from the entire glacier.

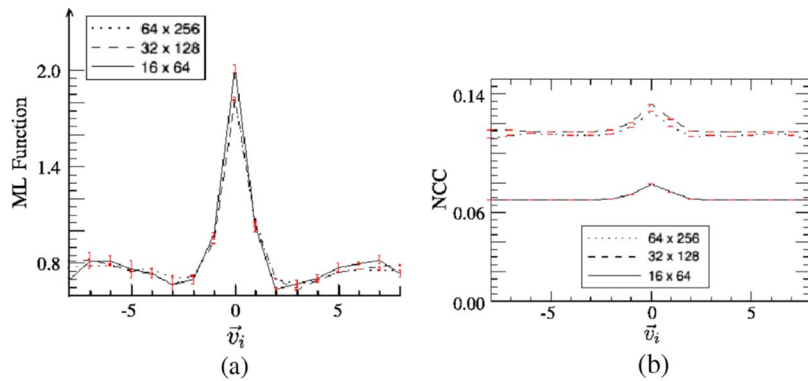


Fig. 5. (a) Averaged ML and (b) NCC estimators considering no-motion area at azimuth direction. The error bars were constructed with the dimension of 200×200 pixels.

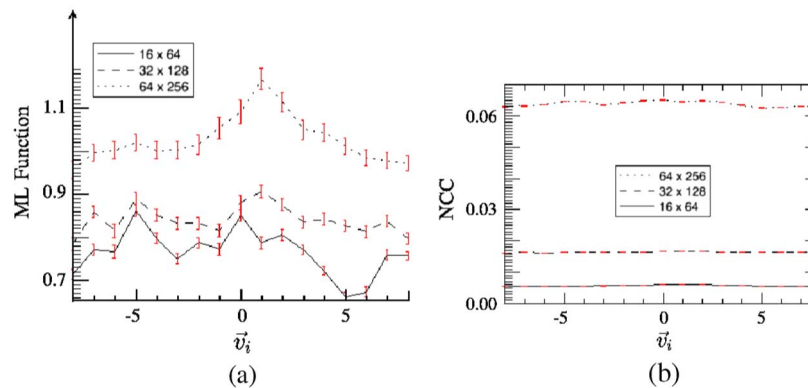


Fig. 6. (a) Averaged ML and (b) NCC estimators considering land-use boundary-change-based motion. The error bars were constructed from approximately the whole lake through azimuth direction.

A. Motion Estimation at Specific Areas

There are two different possibilities for performance evaluation of motion estimators on real data. The first is testing the performance of both estimations in an area without motion, where the correct motion ($\vec{v}_i = 0$) is known. In the second case, the motion is not known and the evaluation can be performed based on the distribution of estimators (the behavior of estimators through candidate shifts).

The ML and NCC estimators were implemented in the selected areas, both with block sizes of 16×64 , 32×128 , and 64×256 . For each area, the distribution of estimators was calculated for different candidate shifts between two images. The distributions were generated by averaging the ML and NCC estimators from the selected area. Error bars, i.e., total standard deviations away from the mean values, were used to show distributions' uncertainty. The resulting distributions from both techniques were compared to each other.

No Motion: First, the proposed and the NCC approaches were tested in the no-motion area [Fig. 4(a)], extracted from glacier data sets. Exploiting the well-known NCC and the ML motion estimation approaches on that area resulted in the characteristic functions shown in Fig. 5. As expected, both approaches result in a maximum at $\vec{v}_i = 0$. However, the confidence measure (the relative ratio of likelihood values) of the estimation is much better for the ML estimation than for the NCC. Homogeneous intensity supplies little information for matching

algorithms, which can be more critical in NCC due to its numerical subtraction procedure. In contrast, the ML function considers the intensity ratio. Although increasing block size has not provided similar results for both techniques, the characteristics of the error bars are almost the same for both techniques: A larger block size results in a higher standard deviation.

Land-Use Boundary-Change-Based Motion: The physical properties of the imaged objects may change over time in a natural manner. Thus, the problem, which is to match objects that have inherent variations over time, can appear. A typical example of this kind of inherent changes regarding the glacial environment is lake ice [Fig. 4(b)]. In practice, it results in a mismatch based on the variation of the backscattering of objects. Fig. 6 shows the ML and NCC matching estimators at Merzbacher Lake area. In that area, both techniques have a different kind of distribution. The ML motion estimation approach supplies a satisfactory estimation. On the other hand, the NCC approach has approximately uniform behavior through shift vectors. It should be noted that small NCC values (no desirable peak) and small amount of error bars can be related to an inherent physical variation of the selected areas during the time period.³

³The distribution of matching algorithms can also be used in change detection analysis. It should be remembered that change detection problems are also time- and scale-dependent problems [22].

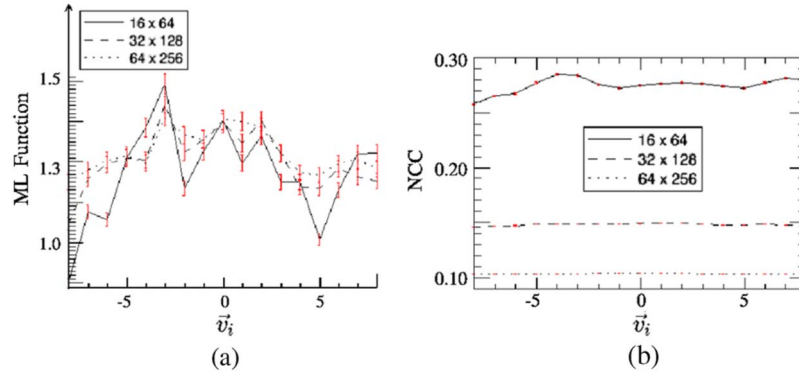


Fig. 7. (a) Averaged ML and (b) NCC estimators considering typical glacier motion in azimuth direction. The error bars were constructed with the dimension of 100×100 pixels.

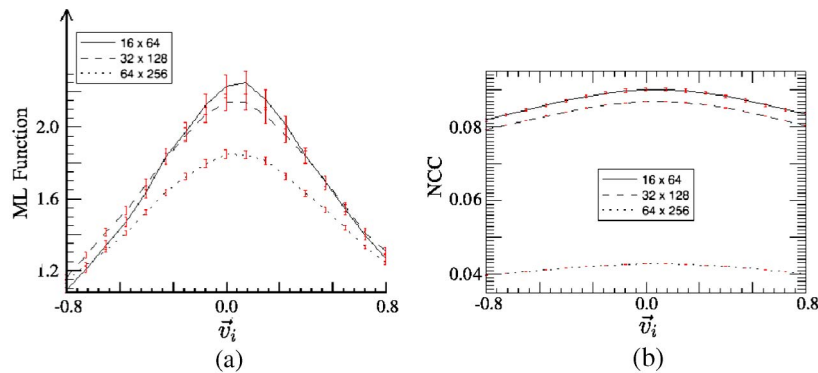


Fig. 8. (a) Averaged ML and (b) NCC estimators extracted from the correlated part of glacier ($0 \leq \rho \leq 0.4$). The error bars were constructed with the dimension of 100×100 pixels.

Offset Motion: This is a common (with respect to computer vision as well as remote sensing applications) motion type, which occurs frequently in glacierized areas. Although land-use boundary-change-based motion can seldom be analyzed with coherent data, offset motion is more likely to result in coherent data. Therefore, this motion type was analyzed for two different areas shown in Fig. 4(c) and (d). According to Fig. 7, the comparison of NCC and ML motion matching allows the complete surface flow measurement: Both approaches supply the same shifts at a small scale. However, larger scale estimation, particularly for NCC approach, can cause a mismatch in such kind of spatial/temporal characteristics. This example also reveals that a larger scale does not always supply a statistically highly accurate estimation. It should be remembered that every estimation is directly related to the characteristics of the samples, and not only to the number of samples. Fig. 8 shows an average of the ML and NCC motion estimation from a coherent area [Fig. 4(d)]. In this case, the images were oversampled by a factor of ten to detect smaller offsets. As inferred from Fig. 8, both approaches have a similar, approximately symmetric, distribution around $\vec{v}_i = 0$.

From the analysis of four experimental results, it is found that the variation of the ML estimator through scale is not as large as the one of the NCC estimator. It may be concluded that using a multiplicative speckle model results in an improvement of the matching performance.

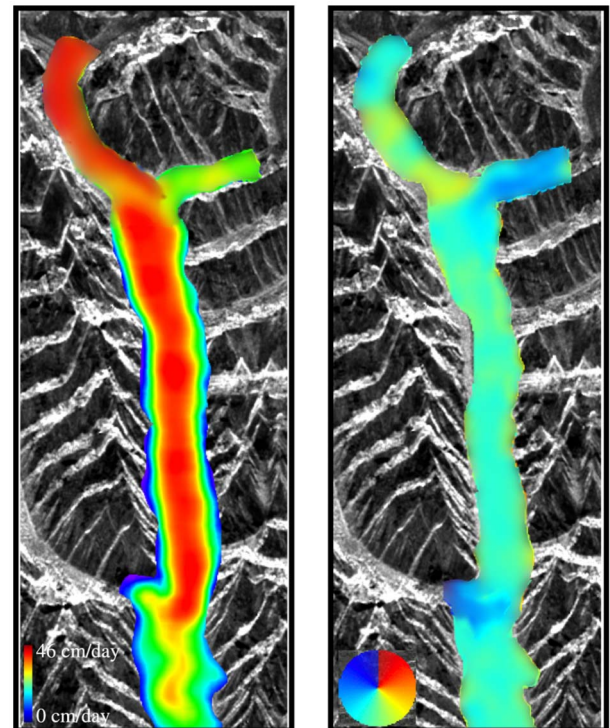


Fig. 9. (a) Velocity map produced using ML motion estimator. Velocity fields are determined from the division of the shift (displacement) vector field by the time interval between image acquisitions. (b) Direction map of velocity vectors.

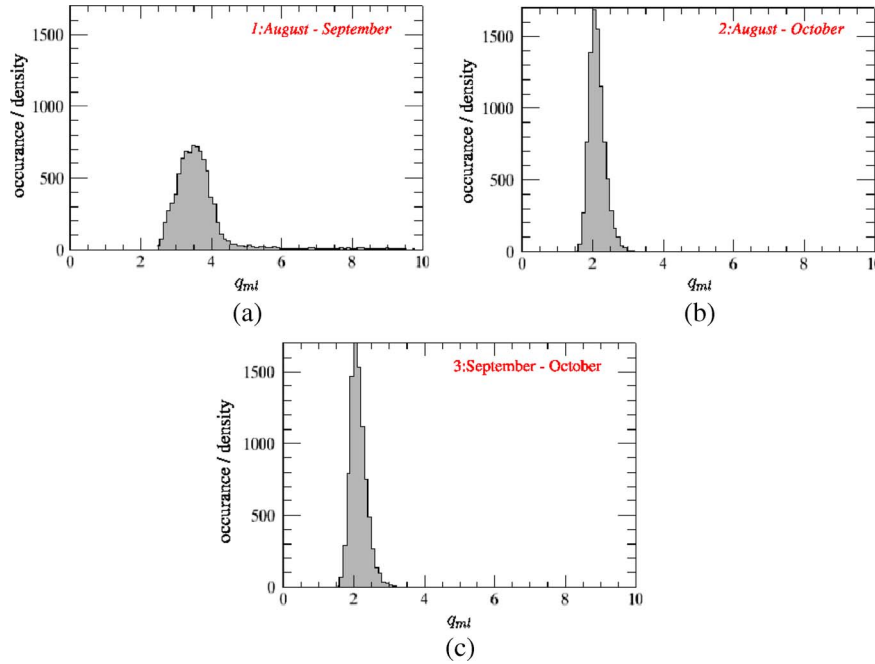


Fig. 10. Normalized quality assessments (17) of velocity measurements obtained through summer 2004 with ENVISAT images.

B. Velocity Analysis for the Whole Glacier

This section contains a concise presentation of the ML estimator. It is not intended to give an in-depth geophysical analysis but merely an example of motion estimation using the ML technique. The proposed ML texture tracking technique was employed over the Inyltshik glacier and used to estimate the velocity of the glacier where the application of differential interferometry was limited by phase noise. The velocity and its direction maps, obtained from a window of 128×512 pixels, are shown in Fig. 9. The velocity map from the ML estimation shows the typical surface velocity pattern of glaciers with the highest velocities in the center of the glacier and a strong gradient toward the boundary. Note that in a portion of the glacier in the bottom of Fig. 9(b), the direction of the surface velocity is toward upward direction, which would not be expected. This happens due to the presence of the Lake Merzbacher in the left-hand side of the glacier, and the draining of the glacier into the lake. A more detailed study about the geophysical properties of the surface velocity of the Inyltshik glacier can be found in [23].

To test the performance of the estimation, the histogram of the following ratio was calculated:

$$q_{ml} = \frac{\max(ml) - \text{mean}(ml)}{\text{mean}(ml) - \min(ml)} \quad (17)$$

where q_{ml} and ml are replaced by q_{NCC} and ncc for NCC estimation and q_{ml}/q_{ncc} indicates the quality of ml/ncc estimators for different shifts. Fig. 10 shows histograms of the normalized quality assessments of velocity measurements obtained through the images of summer 2004. From the quality analysis (Fig. 10), it is found that the velocity measurement extracted from August–September pair has a higher confidence and that there is a slight difference between the quality of measurements

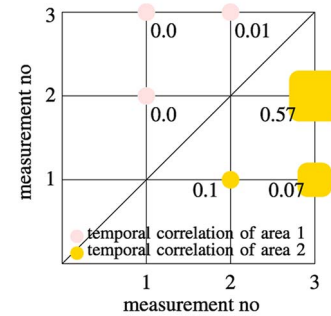


Fig. 11. Correlation coefficients, within the variations of the velocity measurement based on different temporal resolutions, were calculated for the selected two areas. Here, 1 is the result of the ML estimator with a time interval of August–September, 2 is the one of August–October, and 3 is the one of September–October.

obtained from August–October and September–October pairs. The last experiment (Fig. 11) shows the correlation of the measurements that were estimated for different time periods. In other words, the correlation coefficient is used to see how well all measurements vary jointly. The correlation analysis was performed over two different areas. In the first area, measurement 1 was obtained from a data set having a correlated speckle pattern, while measurement 2 and measurement 3 were obtained from a data set characterized by an independent speckle pattern. In the second area, measurements 1, 2, and 3 were all obtained from data sets having uncorrelated speckle patterns. The correlation coefficients between measurements for both areas were calculated. It can be seen from Fig. 11 that for the first area, there is no correlation between measurements, meaning that a large variation in velocity exists over the time period. However, for the second area, the measurements 2 and 3 maintain a higher correlation (which means that there is an approximately uniform motion through these time periods) than the others.

V. CONCLUSION AND DISCUSSION

The ENVISAT-ASAR Inyltshik glacier data set has been processed to monitor glacier surface velocity in the context of multitemporal SAR image interpretation. A new algorithm for intensity matching, which is based on the assumption that SAR images are characterized by a multiplicative speckle model, has been introduced and applied. The proposed algorithm uses ML decision rules evaluated from the density function of the ratio of two speckle patterns. Even though a new intensity-based matching algorithm is proposed, particularly for incoherent data sets, the analysis of the proposed technique was also performed for correlated data sets. The bivariate gamma distribution with gamma marginals has been used for the analysis of correlated speckle behavior. It has been shown that the use of optimized parameters (ML) can improve the classical intensity matching algorithm without any assumption about the existence of correlation and/or decorrelation. The proposed estimator has been compared to the classical incoherent NCC estimator and has been shown to have a more robust behavior than the incoherent NCC estimator, particularly in areas including variation in reflectance over the time period.

Based on the presented results and experiences, the effectiveness of matching algorithms is related to the presence of identical features among SAR images at the scale defined by blocks. Here, scale can be thought of as a geographic meaning (working block). In every remote sensing application, the main problem is that there is a tradeoff between scale and accuracy: Larger scale (big block size) causes higher statistical accuracy but lower resolution; and lower scale causes more detailed information but lower statistical accuracy. The estimation performance of the ML velocity estimator may be improved by increasing the scale. However, the scale must be commensurate with the size of working block including homogeneous displacement; otherwise, the velocity estimation is affected by differing displacement vectors. Generally, a multiscale structure can be helpful in solving the block size problem. Although multiscale approaches increase the accuracy [22], [24], the development of an optimal approach may be application- and area-dependent. Related experiences show that a multiscale approach should be based on shape, texture, and land-use variation, taking temporal resolution into account, and is an area of future research in tracking techniques.

The main results of the proposed approach are that using SAR statistical characteristics improves the quality of the matching algorithms and that matching and change detection algorithms are complementary to each other. The quality of matching algorithms can be increased by considering the sensitivity to detect the change statistics. In addition, encouraged by these results, this single-channel SAR system work will be implemented to multichannel (e.g., polarimetric data) SAR systems using its multiplicative plus additive noise model.

It has already been shown that with the relatively low spatial resolution of ENVISAT, the achieved velocity accuracy is sufficient for monitoring moderately fast glacier flows. Recent developments in SAR technologies supply much higher spatial resolution, which will further enhance the precision of the proposed approach. The TerraSAR-X and RADARSAT-2

sensors will be interesting options for the detection and analysis of much slower ground movement. In addition, TerraSAR-X works at X-band (ENVISAT and ERS have C-band) which may result in incoherent data sets in the case of the monitoring of alpine glaciers. Notwithstanding its sparseness, sometimes, coherent data sets may be available. In such a case, the correlation can be easily included in the ML estimator, and this implementation allows that the proposed approach can be operational in the presence of either correlated or uncorrelated data sets. Thus, the development of monitoring techniques using amplitude data can be interesting for future high-resolution SAR systems, and it can provide complementary information for interferometric techniques (in the case of the presence of coherent data).

APPENDIX I

A. ML Motion Estimation for Logarithmic Images

Taking the natural logarithm of (5) with $\gamma = 1$ leads to $x_{ij} = \alpha_{ij} + y_{ij}$. If $\underline{\alpha} = \ln \alpha$, $p_{\underline{\alpha}}(\underline{\alpha}) = p_{\alpha}(\alpha)/(1/\alpha)$, where $p_{\alpha}(\alpha)$ shows the new speckle distribution indicated by (7). Then, (7) is rewritten for logarithmic scale images by substituting $\alpha = \exp(\underline{\alpha})$ in $p_{\underline{\alpha}}(\underline{\alpha})$ as in the following:

$$p(x_i|y_i, \vec{v}_i) = \prod_{j=1}^k \frac{(\exp(\underline{\alpha}_{ij}))^N}{(1 + \exp(\underline{\alpha}_{ij}))^{2N}}. \quad (\text{A1})$$

After removing the remaining constant term, the log-likelihood function can be written as follows:

$$\sum_{j=1}^k \underline{x}_{ij} - \underline{y}_{ij} - 2 \ln(1 + \exp(\underline{x}_{ij} - \underline{y}_{ij})). \quad (\text{A2})$$

APPENDIX II

A. Distribution of the Ratio of Two Correlated Speckle Patterns

If η' and η'' are governed by a gamma distribution with scale parameter $1/N$ and they are correlated according to the correlation coefficient ($\rho = \text{cov}(\eta', \eta'')/\sqrt{\text{var}(\eta')\text{var}(\eta'')}$) ($0 \leq \rho \leq 1$), their joint pdf is given by using the definition of bivariate gamma distribution [18]

$$p_{\eta''\eta'}(\eta'', \eta') = \frac{N^{N+1}(\eta'\eta'')^{\frac{N-1}{2}}}{\Gamma(N)(1-\rho)^{\frac{N-1}{2}}} \exp\left(-\frac{N(\eta' + \eta'')}{1-\rho}\right) \times I_{N-1}\left(\frac{2\sqrt{\rho}}{1-\rho}N\sqrt{\eta'\eta''}\right) \quad (\text{B1})$$

with

$$I_{N-1}(x) = \sum_{l=0}^{\infty} \frac{\left(\frac{x}{2}\right)^{2l+N-1}}{l!\Gamma(l+N)} \quad (\text{B2})$$

where I_{N-1} is the modified Bessel function of the first kind.

The ratio pdf of $\alpha = \eta''/\eta'$ is derived by [15, eq. 6.60]

$$p_\alpha(\alpha) = \int_0^\infty \eta' p_{\eta''\eta'}(\alpha\eta', \eta') d\eta', \quad \eta', \eta'' \geq 0. \quad (\text{B3})$$

Substitution of the joint pdf (B1) into (B3) follows that

$$\begin{aligned} p_\alpha(\alpha) &= \frac{N^{N+1} \alpha^{\frac{N-1}{2}}}{\Gamma(N)(1-\rho)\rho^{\frac{N-1}{2}}} \sum_{l=0}^{\infty} \left(\frac{N\sqrt{\rho\alpha}}{1-\rho} \right)^{2l+N-1} \frac{1}{l!\Gamma(l+N)} \\ &\quad \times \int_0^\infty \eta'^{2l+2N-1} \exp\left(-\eta' \frac{N(1+\alpha)}{(1-\alpha)}\right) d\eta' \\ &= \frac{(\alpha(1-\rho))^N 2N-1}{\alpha(1+\alpha)\Gamma(N)} \sum_{l=0}^{\infty} \frac{\Gamma(2l+2N)}{l!\Gamma(l+N)} \left(\frac{\rho\alpha}{(1+\alpha)^2} \right)^l \\ &= \frac{\Gamma(2N)(1-\rho)\alpha^N}{\alpha\Gamma(N)^2(1+\alpha)^{2N}} {}_1F_0\left(\frac{1+2N}{2}; -; \frac{4\rho\alpha}{(\alpha+1)^2}\right) \end{aligned} \quad (\text{B4})$$

where ${}_1F_0(a; -; x)$ is a generalized hypergeometric function which converges for $|x| < 1$ as in the present case. The last step of (B4) is performed through Legendre duplication.

B. Moments of Correlated Speckle Ratio

The h th moment of $\alpha = \eta''/\eta'$, i.e., $E[\alpha^h]$ is

$$\begin{aligned} E[\alpha^h] &= \int_0^\infty \alpha^h p_\alpha(\alpha) d\alpha \\ &= \frac{\Gamma(2N)}{\Gamma(N)\Gamma(N)} (1-\rho)^N \int_0^\infty \frac{\alpha^{N+h-1}}{(1+\alpha)^{2N}} \\ &\quad \times {}_1F_0\left(N + \frac{1}{2}; -; \frac{4\rho\alpha}{(\alpha+1)^2}\right) d\alpha \\ &= \frac{\Gamma(2N)}{\Gamma(N)\Gamma(N)} (1-\rho)^N \sum_{l=0}^{\infty} \frac{(N + \frac{1}{2})_l (4\rho)^l}{l!} \\ &\quad \times \int_0^\infty \frac{\alpha^{h+l+N-1}}{(\alpha+1)^{2l+2N}} d\alpha. \end{aligned} \quad (\text{B5})$$

With Euler Beta function ($B(a, b) = \Gamma(a)\Gamma(b)/\Gamma(a+b)$, $a \geq 0, b \geq 0$) and Pochhammer symbols ($((a)_l = a(a+1)(a+2)\dots(a+l-1)$, $l = 1, 2, 3, \dots$), (B5) can be written in a simple closed form as in the following:

$$E[\alpha^h] = \frac{\Gamma(N+h)\Gamma(N-h)}{\Gamma(N)^2(1-\rho)^{-N}} {}_2F_1(N+h, N-h; N; \rho) \quad (\text{B6})$$

where the definition of the hypergeometric function ${}_2F_1(a, b; c; z) = \sum_{l=0}^{\infty} ((a)_l(b)_l / (c)_l) (z^l / l!)$ is used. From (B6), it is possible to compute central moments and functions of them, like skewness, kurtosis, etc. For example, the mean— $E[\alpha]$ —

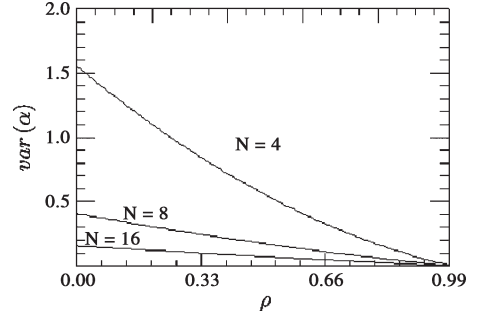


Fig. 12. Behavior of variance of the ratio of two speckle variables as a function of correlation $0 \leq \rho \leq 1$.

and the variance (Fig. 12)— $E[\alpha^2] - E[\alpha]^2$ —based on ρ are given by

$$\rho=0 \rightarrow \begin{cases} E[\alpha] &= \frac{N}{N-1} \\ \text{var}[\alpha] &= \frac{N(2N-1)}{(N-1)^2(N-2)} \end{cases} \quad (\text{B7})$$

$$0 \leq \rho \leq 1 \rightarrow \begin{cases} E[\alpha] &= \frac{N-\rho}{N-1} \\ \text{var}[\alpha] &= \frac{N(N+1)}{(N-1)(N-2)} \\ &\quad \times \left(1 - \frac{4\rho}{N} + \frac{6\rho^2}{N(N+1)}\right) - \frac{(N-\rho)^2}{(N-1)^2} \end{cases} \quad (\text{B8})$$

where the transform of ${}_2F_1(a, b; c; x) = (1-x)^{c-a-b} {}_2F_1(c-a, c-b; c; x)$ is used. As a consequence, the new speckle distribution, which is defined as a ratio of two speckle patterns, only depends on their correlation. On the other hand, the probability of an error in matching algorithm increases with aberration on the assumption of $\gamma = \sigma''/\sigma' = 1$. Related to this, it is preferred to work with normalized intensity, even if it is in logarithmic scale.

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